

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Yuichi SATO *et al.* )  
) Group Art Unit: Not Assigned  
Serial No.: Not Assigned )  
) Examiner: Not Assigned  
Filed: October 1, 2003 )  
)  
For: METHOD FOR FORMING )  
THIN FILM AND CATALYZED )  
TREATMENT SOLUTION  
USED THEREFOR

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO-1449 and are in a non-English language:

1. Japanese Patent Application No. 63-14879. The relevance of this document is discussed at page 2 of the specification of the present application.

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The relevance of this document is also discussed in the enclosed English-language abstract.

2. Japanese Patent Application No. 32-50519. The relevance of this document is discussed in the enclosed U.S. Patent Nos. 6,286,207, 6,449,835 and 6,523,256, all of which are family members of this Japanese patent.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 1, 2003

By: 

Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/sem

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	04208.0190	Serial No.	Not Assigned
Applicant	Yuichi SATO <i>et al.</i>		
Filing Date	October 1, 2003	Group:	Not Assigned

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,286,207 B1	9/11/01	Oura et al.			
	6,449,835 B1	9/17/02	Oura et al.			
	6,523,256 B1	2/25/03	Oura et al.			

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
32-50519	11/16/01	Japan			No
63-014879	1/22/88	Japan			Abstract

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce